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## TRANSMITTAL FORM

*(to be used for all correspondence after initial filing)*

Total Number of Pages in This Submission

Application Number

09/756,832

Filing Date

01/09/01

First Named Inventor

Lorraine R. Bolde, et al.

Group Art Unit

1725

Examiner Name

Zidia T. Pittman

Attorney Docket Number

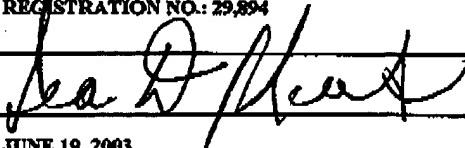
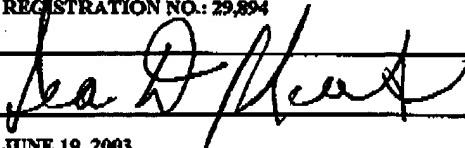
FIS920000300US1

FAX RECEIVED  
JUN 20 2003  
GROUP 1700

### ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application)  <input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input checked="" type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
		<b>EXHIBIT A</b> <b>EXHIBIT B</b> <b>EXHIBIT C</b> <b>EXHIBIT D</b>
<b>Remarks</b>     		

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	IRA D. BLECKER REGISTRATION NO.: 29,894  
Signature	
Date	JUNE 19, 2003

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Typed or printed name	TERI MCDONALD  
Signature	
Date	JUNE 19, 2003

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## FACSIMILE TRANSMISSION TO USPTO

## CERTIFICATION OF FACSIMILE TRANSMISSION:

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING FACSIMILE TRANSMITTED TO THE USPTO ON THE DATE SHOWN BELOW:

Date of Transmission: June 19, 2003  
 Name of Person Making Transmission: Teri McDonald  
 Signature: 

DOCUMENT (S) FAXED:

PAGES ATTACHED: 39

Re Applic of	International Business Machines Corporation
Docket No.	FIS920000300US1
Serial No.	09/756,832
Filing Date	01/09/01
Attorney	Ira D. Blecker

Attached: Petition Under 37 C.F.R. Section 1.181(a)(1) and MPEP Section 1002.02(c)(3)(b) to Withdraw Holding of Abandonment with Exhibits A-D

## Transmittal Form

## PLEASE DELIVER TO:

EXAMINER: Zidia T. Pittman  
 ART UNIT:  
 PHONE NO: 703-308-9723  
 FAX NO: 703-872-9310

## INTERNATIONAL BUSINESS MACHINES CORPORATION

Intellectual Property Law  
 East Fishkill Facility  
 2070 Route 52  
 Hopewell Junction  
 New York 12533-6531

Fax: 845-892-6363  
 Phone: 845-894-2580

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#8 6/28/03  
II

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING SENT VIA FACSIMILE TO: COMMISSIONER OF PATENTS AND TRADEMARKS, WASHINGTON, D.C. 20231, On June 19, 2003 by: Teri McDonald



Signature &amp; Date

FAX RECEIVED  
JUN 20 2003  
GROUP 1700

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Lannie R. Bolde, et al.	Date: June 19, 2003
Serial Number: 09/756,832	Examiner: Zidia T. Pittman
Filed: 1/9/01	Group Art Unit: 1725
Title: KNOWN GOOD DIE REMOVAL METHOD AND APPARATUS	IBM Corporation D/18G, B/300, Zip 482 2070 Route 52 Hopewell Junction, NY 12533-6531

**PETITION UNDER 37 C.F.R. §1.181(a)(1) AND MPEP §1002.02(c)3.(b) TO  
WITHDRAW HOLDING OF ABANDONMENT**

Commissioner of Patents and Trademarks  
Washington, DC 20231

Dear Sir:

On September 4, 2002, a letter from the Office was mailed to Applicant but was never received by Applicant. For failing to respond to such Office letter, the above-captioned application was abandoned. Applicant hereby petitions the holding of abandonment.

**THE FACTS**

On June 28, 2002, Applicant timely submitted by facsimile an Amendment in response to a non-final Office Action with respect to the above-captioned application.

09/756,832

Received from <845 892 6363> at 6/19/03 3:36:39 PM [Eastern Daylight Time]

FIS920000300US1

On or about April 10, 2003, Examiner Zidia Pittman telephoned the Applicant's representative (hereafter the "Undersigned") in regards to a Notice of Compliance mailed by the Office on or about September 4, 2002.

The Undersigned indicated to Examiner Pittman that such Notice of Compliance had not been received by Applicant. The Undersigned requested a copy of such Notice of Compliance so that Applicant could understand in what manner the above-captioned application is not in compliance and also respond to the Notice of Compliance.

Subsequent to the above telephone conversation, Examiner Pittman mailed a Notice of Abandonment to Applicant. Examiner Pittman never supplied a copy of the Notice of Compliance to the Undersigned or Applicant.

#### **ARGUMENT AND RELIEF REQUESTED**

The Undersigned never received the Notice of Compliance. A search of the file jacket and docket records indicates that the Notice of Compliance was not received.

All activities relating to a particular application, particularly correspondence from the Office, are noted on the outside of the docket folder. Attached as Exhibit A is a copy of the outside of the docket folder for the above-captioned application. Absent from the outside of the docket folder is the Notice of Compliance for the above-captioned application.

All incoming Office correspondence is recorded in a mail log in the Undersigned's office. Attached as Exhibit B is the mail log from September 4, 2002, through December 4, 2002. The "Document Date" is the date the correspondence was received by the Undersigned's office. An examination of th

mail log for the foregoing period indicates the absence of the Notice of Compliance for the above-captioned application.

All incoming Office correspondence is also docketed in a docket log in the Undersigned's office and in IBM's computerized docket tracking system. Attached as Exhibit C is the docket log from September 4, 2002, through December 4, 2002. The "Event Date" is the mailing date of the correspondence from the Office. An examination of the docket log for the foregoing period indicates the absence of the Notice of Compliance for the above-captioned application. Attached as Exhibit D is the record for the above-captioned application from IBM's computerized docket tracking system. Marked in such record is a section entitled "Prosecution Events". The "Date" is the date the correspondence was mailed from the Office or mailed/faxed to the Office as the case may be. An examination of such Prosecution Events section indicates the absence of the Notice of Compliance for the above-captioned application.

It is noted that when Applicant filed the Amendment on June 28, 2002, Applicant also submitted a Change of Correspondence Address which was duly noted on the facsimile transmittal sheet when such Amendment was faxed to the Office.

A review of this Petition and Exhibits A to D submitted therewith compels the conclusion that the Notice of Compliance was not received by the Undersigned or Applicant.

In view of the foregoing arguments and remarks, Applicant respectfully requests that this Petition under 37 CFR §1.181(a)(1) be granted, the holding of abandonment be rescinded and the Notice of Compliance be mailed to Applicant.

**TIMELINESS**

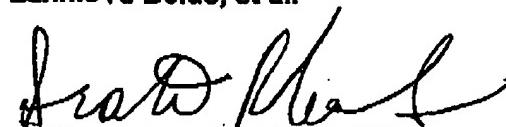
This Petition is being filed within two (2) months of the action complained of and so is deemed to be timely.

**FEE**

It is believed that no fee is required for this Petition. However, if a fee is required, the Commissioner is hereby authorized to charge payment of such fee to our Deposit Account No. 09-0458.

Respectfully submitted,  
Lannie R. Bolde, et al.

By:

  
Ira D. Blecker, Attorney  
Registration No. 29,894  
Telephone: (845) 894-2580

Attorney	Ira D. Blecker	P. O. Group	Bucket No.
Title			FIS9-2000-0300
Patent No.	09/156832		
Venue	Kannie R.		
Assigned to	IBM		
Sup. Title	Known Good Die Removal Apparatus		
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1-09-01	Receive Notice of Assign. file formal docs	4/19/01 3/7/01	
with	Claims	Office Action	
No.			
Priority Date	08/30/00	3/29/02	
Filing	2 PPH=100	6/28/02	
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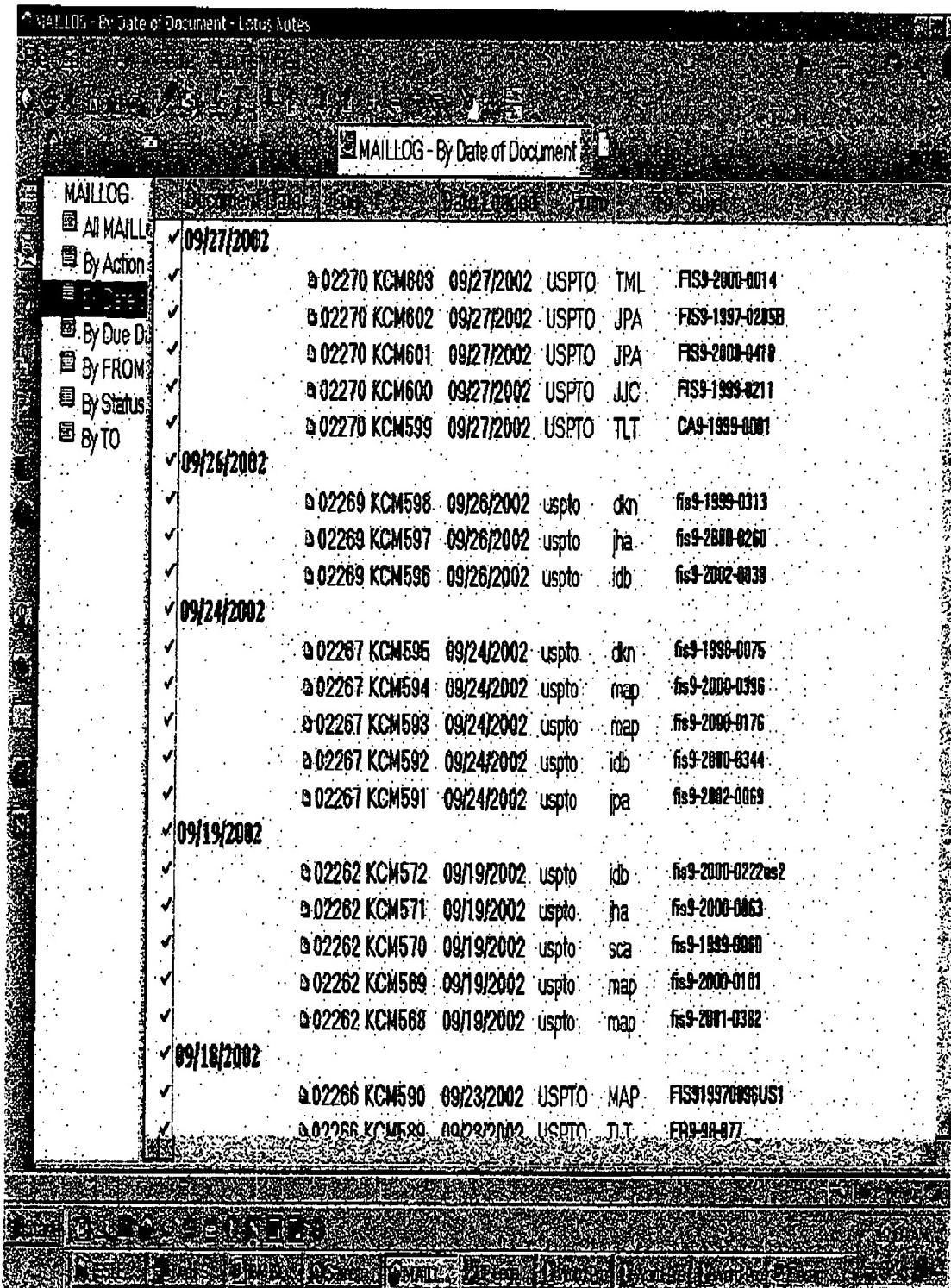
**EXHIBIT B**

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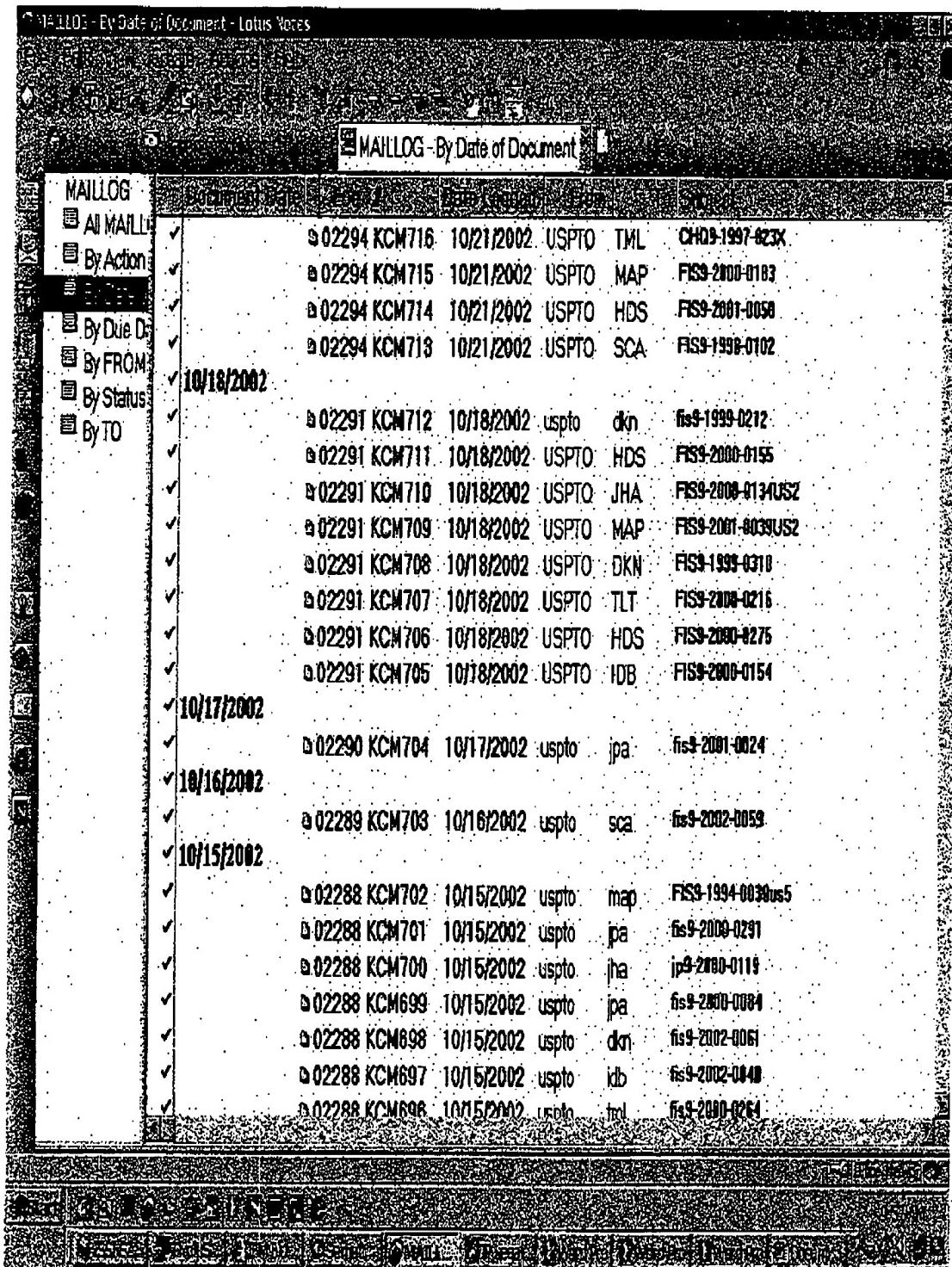


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MAILLOG - By Date of Document - Lotus Notes						
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	02294 KCM727	10/21/2002	USPTO	JJC		FIS-2000-0115
	02294 KCM726	10/21/2002	USPTO	DKN		FIS-2002-0091
	02294 KCM725	10/21/2002	USPTO	SCA		FIS-1999-0097US2
	02294 KCM724	10/21/2002	USPTO	JJC		FIS-2001-0387
	02294 KCM723	10/21/2002	USPTO	TLT		FIS-2000-0323
	02294 KCM722	10/21/2002	USPTO	IDB		FIS-2000-0293
	02294 KCM721	10/21/2002	USPTO	HDS		FIS-2002-0035
	02294 KCM720	10/21/2002	USPTO	DKN		FIS-1999-0211
	02294 KCM719	10/21/2002	USPTO	DKN		FIS-2001-0304
	02294 KCM718	10/21/2002	USPTO	IDB		CA-2000-0029
	02294 KCM717	10/21/2002	USPTO	DKN		FIS-2000-0371

MAILLOG - By Date of Document - Lotus Notes						
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		10/28/2002	uspto	sca	fs9-1998-0102	
		10/28/2002	uspto	sca	fs9-1999-0818us2	
		10/28/2002	uspto	ldb	fs9-2000-0349	
		10/28/2002	uspto	ttt	ca9-2002-0951	
		10/28/2002	uspto	mpa	fs9-2001-0160	
		10/28/2002	uspto	jc	fs9-2002-0017	
		10/28/2002	uspto	map	fs9-2001-0382	
		10/28/2002	uspto	hds	fs9-1997-0067	
		10/28/2002	uspto	map	fs9-2001-0309	
		10/28/2002	uspto	ldb	fs9-1999-0183	
		10/28/2002	uspto	map	fs9-1998-0884	
		10/28/2002	uspto	ldb	fs9-2001-0126	
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		10/26/2002	uspto	ldb	fs9-2000-0298	
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		10/25/2002	uspto	pa	fs9-1996-0085	
		10/25/2002	uspto	sca	fs9-2001-0389	
		10/25/2002	uspto	ldb	fs9-2001-0116	
		10/25/2002	uspto	tml	fs9-2001-0112	
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			uspto	dkn	02309 KCM780 11/05/2002	fis9-2002-0109
			uspto	tml	02309 KCM779 11/05/2002	fis9-2002-0274
			uspto	tml	02309 KCM778 11/05/2002	fis9-1997-0095us2
			uspto	jpa	02309 KCM777 11/05/2002	fis9-2002-0069
		11/04/2002	uspto	tml	02308 KCM776 11/04/2002	fis9-2002-0335us2
			uspto	hds	02308 KCM775 11/04/2002	fis9-1999-0266
			uspto	jha	02308 KCM774 11/04/2002	fis9-1999-0138
			uspto	jc	02308 KCM773 11/04/2002	fis9-2002-0064
			uspto	hds	02308 KCM772 11/04/2002	fis9-2000-0173
		11/01/2002	USPTO	IDB	02305 KCM771 11/01/2002	FIS9-2000-0372
			USPTO	TLT	02305 KCM770 11/01/2002	FIS9-2000-0323
		10/31/2002	uspto	jha	02304 KCM769 10/31/2002	fis9-1999-0219us2
			uspto	jpa	02304 KCM768 10/31/2002	fis9-1999-0052us2
			uspto	idb	02304 KCM767 10/31/2002	fis9-2001-0150
			uspto	dkn	02304 KCM766 10/31/2002	fis9-1998-0154us2
		10/29/2002	uspto	ttl	02302 KCM765 10/29/2002	fis9-2000-0115
			uspto	tml	02302 KCM764 10/29/2002	fis9-2001-0061
			uspto	map	02302 KCM763 10/29/2002	fis9-2001-0016
		10/28/2002	uspto	dkn	02301 KCM762 10/28/2002	fis9-2001-0194

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✓		02312 KCM802	11/08/2002 uspto	hds	fs9-2000-0173	
✓		02312 KCM801	11/08/2002 uspto	hds	fs9-1997-0867	
✓		02312 KCM800	11/08/2002 uspto	map	fs9-2001-0139	
✓		02312 KCM799	11/08/2002 uspto	jpa	fs9-2001-0164	
✓		02312 KCM798	11/08/2002 uspto	idb	fs9-2000-0150	
✓	11/07/2002					
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✓		02311 KCM794	11/07/2002 uspto	jpa	fs9-1998-0283	
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		02316 KCM821	11/12/2002 uspto	ipa	fs9-2001-0024	
		02316 KCM820	11/12/2002 uspto	dkn	jp9-2001-0057	
		02316 KCM819	11/12/2002 uspto	jha	gb9-2001-0018	
		02316 KCM818	11/12/2002 uspto	dkn	fs9-2000-0312	
		02316 KCM817	11/12/2002 uspto	tml	fs9-2002-0089	
		02316 KCM816	11/12/2002 uspto	idb	fs9-2000-0344	
		02316 KCM815	11/12/2002 uspto	idb	fs9-2001-0047	
		02316 KCM814	11/12/2002 uspto	ipa	fs9-2000-0405	
		02316 KCM813	11/12/2002 uspto	dkn	fs9-2000-0043	
		02316 KCM812	11/12/2002 uspto	jic	fs9-2002-0088	
		02316 KCM811	11/12/2002 uspto	jic	fs9-2002-0043	
		02316 KCM810	11/12/2002 uspto	idb	fs9-2002-0039	
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	✓	02325 KCM860	11/21/2002	uspto	map	fs9-1999-0278us2
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	✓	02323 KCM858	11/19/2002	USPTO	JPA	FS9-2000-0151
	✓	11/18/2002				
	✓	02322 KCM857	11/18/2002	uspto	dkn	fs9-2000-0114
	✓	02322 KCM856	11/18/2002	uspto	idb	fs9-2002-0041
	✓	02322 KCM855	11/18/2002	uspto	dkn	fs9-2002-0062
	✓	02322 KCM854	11/18/2002	uspto	jha	fs9-2002-0038
	✓	02322 KCM853	11/18/2002	uspto	jic	fs9-1999-0199
	✓	02322 KCM852	11/18/2002	uspto	ipa	fs9-2000-0044
	✓	02322 KCM851	11/18/2002	uspto	idb	fs9-2001-0057
	✓	02322 KCM850	11/18/2002	uspto	sca	fs9-2002-0053
	✓	02322 KCM849	11/18/2002	uspto	dkn	fs9-2002-0126

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		✉ 02336 KCM890	12/02/2002	uspto	map	fis-1999-0125
11/26/2002		✉ 02330 KCM889	11/26/2002	uspto	dkn	fis-2000-0238
		✉ 02330 KCM888	11/26/2002	uspto	idb	fis-2000-0290
		✉ 02330 KCM887	11/26/2002	uspto	dkn	fis-2002-0062
		✉ 02330 KCM886	11/26/2002	uspto	hds	fis-2002-0067
		✉ 02330 KCM885	11/26/2002	uspto	hds	fis-2001-0105
		✉ 02330 KCM884	11/26/2002	uspto	ttl	fis-1999-0154sub4
		✉ 02330 KCM883	11/26/2002	uspto	jha	fis-2000-0284
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		✉ 02329 KCM881	11/25/2002	USPTO	SCA	FIS-2002-0871
		✉ 02329 KCM880	11/25/2002	USPTO	JJC	FIS-2001-0388
		✉ 02329 KCM879	11/25/2002	USPTO	JJC	FIS-2001-0388
		✉ 02329 KCM878	11/25/2002	uspto	jpa	chq-1999-0046
		✉ 02329 KCM877	11/25/2002	uspto	jha	aus-2001-0153
		✉ 02329 KCM876	11/25/2002	uspto	jpa	fis-1999-0108
		✉ 02329 KCM875	11/25/2002	uspto	jpa	fis-1999-0128sub2
		✉ 02329 KCM874	11/25/2002	uspto	jpa	fis-2001-0159
		✉ 02329 KCM873	11/25/2002	uspto	dkn	tp3-2000-0183
11/22/2002		✉ 02326 KCM872	11/22/2002	uspto	map	fis-2000-0333
		✉ 02326 KCM871	11/22/2002	uspto	ttl	jpa-1999-0201

MAILLOG - By Date of Document - Lotus Notes						
		Date	Subject	From	To	Category
MAILLOG						
All MAILL						
By Action						
By Create	✓	12/03/2002				
By Due D			✉ 02337 KCM915	12/03/2002	uspto	tmj
By FROM			✉ 02337 KCM914	12/03/2002	uspto	map
By Status			✉ 02337 KCM913	12/03/2002	uspto	tmj
By TO			✉ 02337 KCM912	12/03/2002	uspto	dkn
By Date			✉ 02337 KCM911	12/03/2002	uspto	jic
By Due D			✉ 02337 KCM910	12/03/2002	uspto	jic
By FROM			✉ 02337 KCM909	12/03/2002	uspto	tlt
By Status			✉ 02336 KCM908	12/02/2002	uspto	jic
By TO			✉ 02336 KCM907	12/02/2002	uspto	hds
By Create			✉ 02336 KCM906	12/02/2002	uspto	tlt
By Due D			✉ 02336 KCM905	12/02/2002	uspto	icb
By FROM			✉ 02336 KCM904	12/02/2002	uspto	icb
By Status			✉ 02336 KCM903	12/02/2002	uspto	icb
By TO			✉ 02336 KCM902	12/02/2002	uspto	hds
By Status			✉ 02336 KCM901	12/02/2002	uspto	jic
By TO			✉ 02336 KCM900	12/02/2002	uspto	hds
By Create			✉ 02336 KCM899	12/02/2002	uspto	tlt
By Due D			✉ 02336 KCM898	12/02/2002	uspto	tlt
By FROM			✉ 02336 KCM897	12/02/2002	uspto	tlt
By Status			✉ 02336 KCM896	12/02/2002	uspto	tlt
By TO			✉ 02336 KCM895	12/02/2002	uspto	jpa
By Action			✉ 02336 KCM894	12/02/2002	uspto	sca
By Date			✉ 02336 KCM893	12/02/2002	uspto	icb
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MAILLOG - By Date of Document - Lotus Notes						
		Date of Document	From	To	Subject	File #
		12/09/2002	uspto	dkn	fs9-2002-0179	
		12/09/2002	uspto	jpa	fs9-1998-0172us2	
		12/09/2002	uspto	jic	fs9-2000-0320	
		12/09/2002	uspto	map	fs9-2001-0285	
		12/09/2002	uspto	jha	fs9-2001-0021	
		12/09/2002	uspto	idb	fs9-2000-0098	
		12/09/2002	uspto	map	fs9-1997-0336	
		12/09/2002	uspto	fml	fs9-2000-0259	
		12/09/2002	uspto	sca	fs9-1999-0215	
		12/09/2002	uspto	jha	fs9-2001-0153	
		12/09/2002	uspto	jha	fs9-2001-0239	
		12/09/2002	uspto	hds	fs9-2000-0345	
		12/09/2002	uspto	map	fs9-1999-0107	
		12/09/2002	uspto	map	fs9-1999-0126	
		12/09/2002	uspto	fml	fs9-2000-0264	
		12/09/2002	uspto	jpa	fs9-1999-0140	
	12/06/2002					
		12/06/2002	uspto	jpa	fs9-1998-0171us2	
	12/05/2002					
		12/05/2002	uspto	fml	fs9-1998-0143	
		12/05/2002	uspto	hds	fs9-1999-0153	
		12/05/2002	uspto	dkn	fs9-2002-0063	
		12/05/2002	uspto	fml	fs9-2001-0012	
		12/05/2002	uspto	jpa	fs9-2001-0037	
		12/05/2002	uspto	dkn	fs9-1999-0005	

## Prepare Amendment

EXHIBIT C

DUE DATE	ATTY	O.C.	DOCKET #	SERIAL NUMBER	EVENT DATE	FILED
11/13	JDC		F19-07-152053	09/327337	8/12	(RR)
11/14	NDS	-	F19-01-034	09/1891025	8/14	
11/15	JNA	-	F19-00-287	09/1732406	8/12	
11/21	IDB	-	F19-99-004	09/408355	8/21	
10/3	JPA	BST	F19-01-110	10/001323	7/3	
11/23	JPA	-	F19-01-196	10/037042	7/3	
11/14	TML	-	F19-00-324	09/1803160	8/22	
11/22	JDC	-	F19-00-232	09/1759012	8/22	
11/20	JDC	-	F19-01-111	09/1912192	8/22	
11/22	OKN	-	F19-01-115	09/1902813	8/22	
11/22	IDB	-	F19-99-277	09/1570711	8/22	
11/27	MAP	-	F19-00-370	09/822431	8/27	Should be 8/28
11/27	NDS	-	F19-01-184	09/1924014	8/27	
11/28	NDS	-	F19-01-210	09/1920688	8/28	
11/28	JDC	-	F19-99-223	09/45C945	8/28	
11/29	PTT	-	F19-99-263	09/467207	8/29	

## Prepare Amendment

**EXHIBIT C**

DUE DATE	ATTY	O.C.	DOCKET #	SERIAL NUMBER	EVENT DATE	FILED
11/28	HAP	-	F19-01-294	10/04/968	8/28	
11/27	MAP	-	F19-99-144	09/474189	8/27	
11/28	SC	-	F19-98-065	09/158616	8/28	
11/27	HDS	-	F19-99-163	09/441797	8/27	
11/28	JDA	-	F19-98-216052	10/036476	8/28	
11/28	NDS	-	F19-01-177	09/941911	8/28	
11/28	IDB	-	F19-00-311	09/814322	8/28	
11/29	JJC	-	F19-00-192	09/821478	8/29	
11/29	JPA	-	F19-99-149453	09/81893C	8/29	
12/3	JJC	-	F19-01-233	09/971840	9/3	
12/10	HDS	-	F19-00-028	09/347827	9/10	
12/10	TCT	-	F19-96-194X	09/236183	9/10	
12/11	HDS	-	F19-98-234	09/516039	9/11	
12/11	SC	-	F19-98-205	09/952331	9/11	
12/12	NDS	-	F19-99-126452	09/878804	9/12	
12/11	JNA	-	F19-00-099	09/730672	9/11	
12/11	HDS	-	F19-02-014	10/096192	9/11	

## Prepare Amendment

DUE DATE	ATTY	O.C.	DOCKET #	SERIAL NUMBER	EVENT DATE	FILED
12/12	SC	-	F19-00-293	09/123529	9/12/02	
12/17	SC	-	F19-00-098	09/907392	9/17	
12/19	HDSP	DP	F19-00-185	09/740980	9/19/02	
12/23	JPA		F19-97-205	09/611955	9/23/02	
12/23	TML		F19-00-014	09/634315	9/23/02	
12/19	PTT	-	F19-00-090	09/660679	9/19	
11/14	TML	SMF	F19-00-22452	09/862827	8/14	
12/24	SC	-	F19-01-016	09/1882428	9/24	
12/25	TML	-	F19-97-180052	09/1785787	9/25	
12/11	JPA	BST	F19-99-103	09/1896001	9/11	
12/11	JPA	BST	F19-00-054	09/1896001	9/11	
12/20	JDC	-	F19-00-320	09/165015	9/20	
12/17	JNA	-	F19-00-358	09/1858687	9/17	
12/11	JDC	DP	F19-99-136	09/419015	9/11	
12/11	JNA	DP	F19-99-196	09/438041	9/11	
12/26	T2T	-	F19-01-068	09/1884671	9/26	
12/26	T2T	-	F19-01-135	09/193125	9/26	
12/24	TML	-	F19-00-327	09/1766013	9/24	

## Prepare Amendment

DUE DATE	ATTY	O.C.	DOCKET #	SERIAL NUMBER	EVENT DATE	FILED
1/2/03	JAP	-	F19-01-239	10/02447	9/27	
1/2/03	JJC	-	F19-01-048	09/1872882	9/25	
1/2/03	JJC	116	F19-01-045	09/829749	9/23	
1/1/03	TMC	-	F19-01-091	09/1910380	10/1	
1/1/03	HAP	-	F19-01-153	09/1895280	10/1	
1/1/03	JHA	-	F19-01-200	09/533831	10/2	
1/1/03	TLT	-	F19-98-021	09/1465088	10/2	
1/1/03	TZT	-	F19-01-0311	10/026117	1/4	
1/1/03	SCA	DP	F19-98-206	09/339270	10/3	
1/1/03	4BS		F19-99-011	09/291496	10/3	
1/1/03	TC		F19-99-0222	09/449875	10/4	
1/1/03	JVC		F19-20010191451	10/007097	10/7	
1/1/03	JHA		F19-2000119451	09/882821	10/11	
1/1/03	JPA	BST	F19-99-08-111	09/223459	10/3	
1/1/03	JPA		F19-2000-0291	09/726421	10/1	
1/2/03	RT	RP	F19-99-049	09/408352	9/27	
1/2/03	HDS	GST	F19-01-218	10/014632	9/23	
1/2/03	HAP	-	F19-01-043	09/1882250	10/3	

## Prepare Amendment

DUE DATE	ATTY	O.C.	DOCKET #	SERIAL NUMBER	EVENT DATE	FILED
1/4	SC	-	F19-01-100	09/994824	10/4	
1/8	HDS	-	F19-01-105	09/899957	10/8	
1/14	TML	-	F19-00-337	09/832605	10/4	
1/17	DKN	-	F19-01-117	09/896745	10/7	
1/17	SC	-	F19-01-353	10/026118	10/17	
1/18	IDB	-	F19-01-218	09/362173	10/18	
1/20	HDS	955	F19-01-250	10/014632	9/23	
1/21	JVC	-	F19-00-250	09/768833	10/7	
1/21	IDB	-	F19-00-898	09/157516	10/21	
1/22	JPA	-	F19-96-085	09/588351	10/22	
1/23	JPA	-	F19-00-338	09/734830	10/23	
1/23	MAP	-	F19-00-147	09/601139	10/23	
1/23	IDB	-	F19-00-349	09/870534	10/23	
1/24	DKN	-	F19-01-194	16/591186	10/24	
1/24	MAP	DP	F19-98-019USA	09/840380	10/24	
1/24	JNA	-	F19-00-378	09/894337	10/24	
1/25	PT	-	F19-01-135	09/893125	9/26	
1/25	JNA	-	F19-99-219USA	09/976728	10/25	

## Prepare Amendment

Nor. '02

DUE DATE	ATTY	O.C.	DOCKET #	SERIAL NUMBER	EVENT DATE	FILED
1/31	MAP	-	F19-98-019 USA	09/840380	10/24	
1/31	HDS	-	F19-99-266	09/467208	10/29	
2/1	SC	-	F19-92-117	09/074047	10/31	
2/1	MAP	-	F19-00-396	09/809663	11/1	
2/4	JWA	-	F19-00-131	09/608763	10/28	
2/5	JPA	-	F19-98-184USA	09/689361	11/4	
2/4	JPA	-	F19-01-172	09/1438040	11/5	
2/6	JPA	-	F19-00-138	10/016580	10/24	
2/8	SC	-	F19-00-312	09/810325	11/6	
2/10	DKN	-	F19-00-270	09/670728	11/6	
2/18	JPA	-	F19-98-218(2)	C9/899923	11/8	
2/23	SC	-	F19-01-183	10/013797	10/23	
2/26	JT	-	F19-01-138	09/975246	11/6	
2/18	JT	-	F19-00-237	09/809766	11/18	
2/19	LB	-	F19-00-009	09/974403	11/19	
2/15	JT	-	F19-01-069	09/865256	11/15	
2/18	LB	-	F19-01-399	10/751075	11/18	

## Prepare Amendment

DUE DATE	ATTY	O.C.	DOCKET #	SERIAL NUMBER	EVENT DATE	FILED
2/20	JPA	-	F19-98-108	09/377331	11/20	
2/21	HDS	-	F19-01-185	09/898201	11/21	
2/21	TRT	-	F19-96-154054	09/549912	11/21	
2/21	SC	-	F19-01-212	09/999719	11/21	
2/25	JCA	-	F19-00-354	09/944344	11/25	
2/26	DKN	-	H09-94-043US2	08/723727	11/26	
2/26	JCA	-	F19-00-188	09/759101	11/26	
2/26	DKN	-	F19-99-005	09/364840	12/2	
2/25	JNA	-	F19-00-282	09/759016	12/2	
3/4	JPA	-	F19-98-172USA2	09/849530	12/9	
3/4	JCA	-	F19-00-320	09/765015	12/4	
3/4	HAP	-	F19-04-285	10/018174	12/4	
3/3	JPA	-	F19-99-140	09/469633	12/3	
3/19	JPA	-	H09-99-046	09/535149	11/9	
2/15	TRT	-	F19-01-069	09/865256	11/15	
1/20	DKN	CBS	F19-99-289	10/011556	11/20	
3/4	JHA	<del>JHA</del>	F19-00-273	10/056256	12/4	
3/4	JNA	-	F19-00-387	09/848467	12/4	

## Prepare Amendment

DUE DATE	ATTY	O.C.	DOCKET #	SERIAL NUMBER	EVENT DATE	FILED
3/23/03 2/23	JPA	-	F19-00-137	09/6346715	12/2	
3/10/03 3/12/03	DB	-	F19-99-185	09/764836	11/22	
3/13/03 3/14/03	EUP	-	F19-99-065	09/152997	12/13	
3/14/03	HDS	RF	F19-99-235	09/1558943	12/12	
3/15/03	TLT	-	F19-00-177	09/1696518	12/14	filed
3/16/03	MSP	-	F19-00-176	09/1605694	12/18	
3/20	DB	-	F19-00-413	09/956679	14/20	
3/19	TLT	-	F19-00-359	09/919316	12/19	
3/19	JVC	-	F19-99-121	09/395395	12/19	
3/19	TM	-	F19-01-061	09/836039	12/19	
3/20	DB	-	F19-01-242	19010258	12/20	
3/15	NC	-	F19-99-241	09/537206	12/5	
3/12	PT	-	F19-99-127	10/006969	12/12	
3/19	JVC	-	F19-99-127	09/395394	12/19	
3/19	HDS	-	F19-99-268	09/441798	12/20	
3/19	PT	-	F19-00-227	09/1835790	12/19	

FIS9-2000-0300-US1 Known Good Die Removal Method and Apparatus - continued

**EXHIBIT D****Docket****Docket FIS9-2000-0300-US1****Application 09/756832**

Prepared for and/or by an IBM Attorney - IBM Confidential

Created By Judy Paolillo On 08/30/2000 05:26:30 PM

Last Modified By Judy Paolillo On 04/29/2003 04:01:49 PM

**Disclosure FIS8-2000-0258****Merged Disclosures None****Title**

Known Good Die Removal Method and Apparatus

**Summary**

Status	Abandoned
File Date	09-Jan-2001
Abandon Date	22-Apr-2003
Application Number	09/756832
Priority Date	09-Jan-2001
Processing Location	FIS
Responsible Attorney	Ira Blecker/Fishkill/IBM
Task Attorney	
Task Administrator	Hilda Heinlein/Fishkill/IBM
Outside Counsel Firm	
Technology Code	
PPM Tech Area	100 - Solid State Technologies
PPM Rating	
Application Jurisdiction	United States of America
Application Type	Regular
Intellectual Property Type	Utility Patent
Patent Ownership	IBM Only
Owning Division	MD
Owning Functional Area	ZZDF2 ... SCHNEIDER /Sherer/Dore: Advanced Module Engineering
PPM Selected Countries	

**Inventors with Lotus Notes IDs**

Inventors: Lannie Bolde/Poughkeepsie/IBM

Inventor Name	Inventor Serial	Div/Dept	Inventor Phone	Manager Name
Bolde, Lannie R.	805864	29271A	IVB	Manotra, Ashwani K.

> denotes primary contact

**Inventors without Lotus Notes IDs****Docket Information**

Original Location	
Decision Date	08/30/2000
Target Filing Date	
Attorney Docket Number	FIS9-2000-0300

## FIS9-2000-0300-US1 Known Good Die Removal Method and Apparatus - continued

IBM to pay fees	Yes <input type="radio"/> No <input checked="" type="radio"/>
Date Distributed to Counterpart Countries	
Owning Division	MD
Owning Functional Area	ZZDF2 ... SCHNEIDER /Sherer/Dore: Advanced Module Engineering
Funding Division	MD
PPM Original Counterpart Date	
PPM Quota Type	<input type="radio"/> National <input type="radio"/> PCT <input type="radio"/> EP
Local Use	
Comments	

## Outside Counsel Information

Outside Counsel Firm	
Outside Counsel Attorney Name	Jones
Date Sent to Outside Counsel	08/30/2000
Outside Counsel Target Date	
Date Received from Outside Counsel	
Outside Counsel Reference Number	
Outside Counsel Evaluation Form Completed	

## Application Information

Application Number	09/756832
Confirmation Number	6866
Filing Date	01/09/2001
Priority Date	01/09/2001
Priority Jurisdiction	United States of America
Priority Application Serial Number	
Application Type	Regular
Number of Spec Pages	24
Sheet(s) of Drawings	9
Number of Independent Claims	4
Total Number of Claims	27
Examining Group	1725
Foreign License Grant Date	02/26/2001
Foreign License Grant Country	
Nonpublication Requested	<input type="radio"/> Yes <input checked="" type="radio"/> No
Projected Publication Date	07/11/2002
Date Published/Laid Open	07/11/2002
Publication Number	US20020088846A1
Search Report Publication Date	
Search Report Received Date	
PCT International Search Authority	
PCT Receiving Office	
PCT Chapter	
Examination Requested Date	
Designations Paid	<input type="radio"/> Yes <input checked="" type="radio"/> No

FIS9-2000-0300-US1 Known Good Die Removal Method and Apparatus - continued

<b>Designations Paid Date</b>	
<b>Number of Designations Fees Paid</b>	
<b>Preliminary Examinations Report Received</b>	<input type="radio"/> Yes <input checked="" type="radio"/> No
<b>Assignee</b>	
<b>Assignment Record Date</b>	01/09/2001
<b>Assignment Reel &amp; Frame</b>	011460/0346
<b>Date IDS Submitted</b>	01/09/2001
<b>Notice of Allowance Date</b>	
<b>Issue Fee Paid Date</b>	
<b>Appeal Number</b>	
<b>Appeal Date</b>	
<b>Associated Application Docket Number</b>	
<b>Associated Application Relationship</b>	
<b>Defensive Publication Number</b>	

**Joint Venture/Alliance Information**

<b>Patent Ownership</b>	IBM Only
<b>Alliance Company Name(s)</b>	
<b>Alliance ID</b>	
<b>Alliance IBM Log Number</b>	
<b>Alliance Reference Number</b>	
<b>Filing Party</b>	
<b>Government Contract Number</b>	

**Patent Information**

<b>Patent Number</b>	
<b>Issue Date</b>	
<b>Expiration Date</b>	
<b>Abandon Date</b>	04/22/2003
<b>Number of Claims Allowed</b>	
<b>International Classification</b>	
<b>USPTO Classification</b>	
<b>Date Rights Initiated</b>	
<b>Date Rights Terminated</b>	
<b>Company Divested to</b>	
<b>Licensing</b>	
<b>Date Sent to Retention</b>	
<b>Retention Box Number</b>	

**Interference/Opposition Data**

<b>Interference/Opposition Number</b>	
<b>Date Opposition/Interference Declared</b>	
<b>Date Opposition/Interference Terminated</b>	
<b>Senior Party</b>	
<b>Junior Party</b>	

FIS9-2000-0300-US1 Known Good Die Removal Method and Apparatus - continued

**Prosecution Events**

Event Name	Date	Recorded by
Docket Application for Filing	30-Aug-2000	Judy Paolillo
File Application: Informal Draw - IDS - Formal papers	9-Jan-2001	Hilda Heinlein
Receive Postcard - Application Filing	26-Jan-2001	Hilda Heinlein
File Drawings (Formal)	19-Apr-2001	Judy Paolillo
Receive Notice of Assignment & Recordation	20-Apr-2001	Judy Paolillo
Receive Official Filing Receipt	20-Apr-2001	Judy Paolillo
Complete Dossier	17-May-2001	Betty Gersch
Complete FDT	29-Oct-2001	Susan David
Receive Office Action (Non-Final)	29-Mar-2002	Judy Paolillo
File Amendment (Response to Office Action)	28-Jun-2002	Judy Paolillo
File Request for Change of Correspondence Address	28-Jun-2002	Judy Paolillo
Receive Notice of Publication	11-Jul-2002	Judy Paolillo
Receive Notice of Abandonment	22-Apr-2003	Judy Paolillo

**Tasks**

Open Tasks	Indicator	Due	Task Person
Prepare Request to Revive	Final	23-Apr-2003	Ira Blecker

Completed Tasks	Indicator	Due	Complete	Task Person
Prepare Patent Application	Due Date	28-Feb-2001	11-Jan-2001	GSJ
Prepare Dossier	Due Date	9-Mar-2001	18-Mar-2002	Susan David
Prepare Formal Drawings	Due Date	9-Apr-2001	20-Apr-2001	Susan David
Prepare FDT	Due Date	9-Jul-2001	3-Apr-2002	Susan David
Prepare Request to Rescind Previous Nonpublication	Due Date	29-Nov-2001	25-Jul-2002	Hilda Heinlein
Request	Due Date	29-Jun-2002	28-Jun-2002	Ira Blecker
Prepare Amendment	Final	29-Sep-2002	28-Jun-2002	Ira Blecker
Last chance to file Amendment	Due Date	28-Dec-2002	22-Apr-2003	Hilda Heinlein
Wait for Office Action				

**Claims****Claims at Filing**

A method for separating a semiconductor device from substrate in a fixture having a shearing element where the semiconductor device is attached to the substrate by solder connections to form an assembly, the method comprising:

applying a loading force to drive the shearing element away from its home position to which it is urged by a mechanical biasing force into a loading position,

loading the assembly of the substrate and the semiconductor device into the fixture with the shearing element proximate to the semiconductor device,

removing the loading force to apply a shearing force derived from the mechanical biasing force which is and applied by the shearing element to the semiconductor device, and

heating the solder connections of the assembly in the fixture to a predetermined temperature.  
All comments above have been modified by Ira Blecker/Fishkill/IBM 17-May-2001

Ira Blecker/Fishkill/IBM

**Claims at Issue****Docket History**

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Entered on 11-Jan-2001 by Hilda Heinlein (Ira Blecker as Responsible Attorney)  
Task Administrator changed from susan david to Susan David

Entered on 10-Apr-2002 by Judy Paolillo (Ira Blecker as Responsible Attorney)  
Outside Counsel Firm GSJ has been removed and none assigned

Entered on 10-Apr-2002 by Judy Paolillo (Ira Blecker as Responsible Attorney)  
Task Administrator changed from Susan David to Hilda Heinlein